



Materials for Non-NASD Licensees

(Please complete this form again even if you have done so for another system as this is the only way we get notification to send you the correct materials).

If you are not securities licensed through the NASD, we recommend you use the other invitation and seminar slides that we have available for the Annuity, LTC, Estate and IRA Distribution Seminar systems.

Please verify that you are not subject to NASD regulations and we will provide those to you. The only way to obtain or see those materials is to complete, fax this form and to agree to the statement below:

“I am not securities licensed and not subject to rules of the NASD. I realize that Javelin Marketing has filed the items in this program with the NASD advertising review department for use by NASD licensees and has agreed to supply ONLY these filed materials to NASD licensees. Since I am not subject to the rules of the NASD and not securities licensed, I would like to receive the other materials that can be used for this system. (Note: Javelin Marketing does not have answers to legal questions such as “I am not currently with a BD but I do have a securities license. Am I subject to NASD rules?”)

NAME: _____

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Fax to 925-226-4649